

# Equivalent definitions and generalizations for algebraic lattices

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## Abstract

Formalizing some well-known routines in algebraic lattices, we give two new equivalent definitions for this class. A natural generalization of algebraic lattices is defined and discussed, called *compactic* lattice.

All lattices considered in the sequel will be **complete** lattices. We use the quotient sublattice notation from [1] for intervals.

## 1 General Case

We first formalize some well-known routines in algebraic lattices. This gives the following general frame.

Let  $A$  be nonempty subset of a lattice  $L$ .

**Definitions.** The lattice  $L$  is called *A-generated* if every element of  $L$  is a join of elements from  $A$  and *A-separated* if for every  $a > b$  there is an element  $x \in A$  with  $x \leq a$  and  $x \not\leq b$ . Moreover, denote by  $a/A = \{x \in A \mid x \leq a\}$  and call a lattice *A-large* if  $a \leq b$  whenever  $\emptyset \neq a/A \subseteq b/0$ . Finally, a lattice is *A-ctic*, if every nonzero element has a nonzero lower bound in  $A$ .

The special cases which could be of interest are  $A = K = K(L)$  the set of all the compact elements of a lattice  $L$  (notice that here  $0 \in A$ ),  $A = A(L)$  the set of all the atoms of  $L$  (notice that here  $0 \in A$ ) and  $A = N(L)$  the set of all the Noetherian elements of  $L$  (an element  $a \in L$  is called *Noetherian* if the sublattice  $a/0$  satisfies the ACC).

**Remark 1** *A-separated* can (equivalently) be defined also as follows: if  $b \not\leq a$ , there is an element  $x \in A$  with  $x \leq a$  and  $x \not\leq b$ .

Indeed, since  $b \not\leq a$  happens when  $a > b$  or  $a \parallel b$  (i.e., the elements are not comparable), this definition is apparently stronger. However, if  $a \parallel b$  then  $a > a \wedge b$ , and by the first definition, there is an element  $x \in A$  with  $x \leq a$  but  $x \not\leq a \wedge b$ . Since  $x \leq b$  would imply  $x \leq a \wedge b$ , we have  $x \not\leq b$ , as desired.

Note that a lattice is *A-generated* if and only if for every  $a \in L$ ,  $a = \bigvee a/A$  (i.e.,  $= \bigvee \{x \mid x \in a/A\}$ ).

**Theorem 2** *The following conditions are equivalent for a lattice L:*

- (i) *L is A-separated;*

- (ii)  $L$  is  $A$ -generated;
- (iii)  $L$  is  $A$ -large.

**Proof.** (i)  $\implies$  (ii) Suppose  $L$  is not  $A$ -generated. Using the equivalent definition mentioned above, there exists an element  $b \in L$  such that  $b > \bigvee b/A$ . Denoting  $a = \bigvee b/A$ , clearly  $b/A \subseteq a/A$  and so  $a < b$  are not separated (and so  $L$  is not  $A$ -separated). It is easy to obtain from this (i)  $\implies$  (iii).

(ii)  $\implies$  (iii)

Further, if the lattice is  $A$ -generated, it is also  $A$ -large. Indeed, since  $a/A \subseteq b/0$  implies  $a/A \subseteq b/A$ , we obtain  $\bigvee a/A \leq \bigvee b/A$  and the previous remark gives  $a \leq b$ .

(iii)  $\implies$  (i) If  $a > b$ , suppose there is no  $x \in a/A$  which satisfies  $x \not\leq b$  (i.e.,  $L$  is not  $A$ -separated). Then  $a/A \subseteq b/0$  and since  $a \not\leq b$  the lattice is not  $A$ -large. ■

**Proposition 3** *Every  $A$ -generated lattice is  $A$ -ctic.*

**Proof.** Indeed, if  $0 \notin A$ , let  $0 \neq a \in L$ . Since  $a = \bigvee a/A$  and  $\bigvee \emptyset = 0$ , we derive  $a/A \neq \emptyset$  and  $L$  is  $A$ -ctic. If  $0 \in A$  then we have to compare  $a = \bigvee a/A$  and  $\bigvee \{0\} = 0$  in order to obtain  $\{0\} \subsetneq a/A$ , and again  $L$  is  $A$ -ctic. ■

**Remark 4** *If a lattice is  $A$ -separated (or equivalently  $A$ -generated), then  $A$  contains the atoms of  $L$ .*

Indeed, atoms  $a > 0$  are separated from 0 (if and) only if  $a \in A$ .

*Summarizing*

$A$ -generated  $\iff A$ -separated  $\iff A$ -large  $\implies A$ -ctic.

## 2 Special case $A = K(L)$

In this study we concentrate on the first special case, that is  $A = K$ , the compact elements of a lattice  $L$ .

We shall use the terms *compactly-separated*, *compactly-large* and *compact* (for  $K$ -ctic). Actually, in compactly generated lattices, (very often) *this is the way someone checks an inequality  $a \leq b$* : it suffices to check  $c \leq b$  for all compact elements  $c \leq a$  (that is, the compactly-large definition).

From the previous section we have at once

**Corollary 5** *The following conditions are equivalent for a lattice  $L$ :*

- (a)  $L$  is compactly generated
- (b)  $L$  is compactly-separated
- (b)  $L$  is compactly-large.

For the sake of completeness, recall that in the literature (see [1]), both proofs for

**Proposition 6** (i) *Every compactly-generated lattice is weakly atomic, and*  
(ii) *Every compactly-generated lattice is upper continuous,*

actually rely on the compactly-separated property. Examples are customarily given in order to show that these (last two) inclusions are proper (e.g., see [1]).

**Proposition 7** *All these classes are included in the atom-compact class (i.e., every atom is compact).*

**Proof.** Compact lattices: obvious.

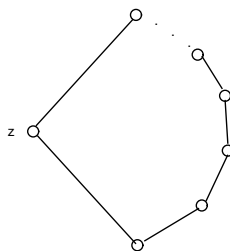
Upper continuous lattices: let  $a \in L$  be an atom in an upper continuous lattice  $L$ . If  $a \leq \bigvee D$  for an upper directed subset  $D \subseteq L$  observe that for each  $d \in D$ ,  $a \wedge d = a$  or  $a \wedge d = 0$ . Hence  $a \not\leq d$  for each  $d \in D$  is not possible: we would have  $a = a \wedge (\bigvee D) = \bigvee (a \wedge d) = \bigvee 0 = 0$ . ■

Weakly atomic does not generally imply atom-compact. See the next example.

*Summarizing*

finite  $\implies$  Noetherian  $\implies$  [compactly generated  $\iff$  compactly-separated  
 $\iff$  compactly-large]  $\left\{ \begin{array}{l} \nearrow \text{compact} \\ \searrow \text{upper continuous} \end{array} \right\} \nearrow \text{atom-compact}.$

An *example* of lattice which is not atom-compact is given in [3] (Figure 3.1 (b), p. 28)



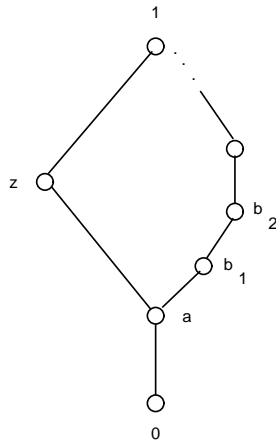
the element  $z$  is not compact, and in this case nor join of compact elements ( $z$  is an atom which is not compact). So none of the properties in our first chart, but the lattice is Artinian and so (strongly) atomic and weakly atomic.

**Remark 8** *If 0 is the only compact of a lattice  $L$ , this lattice is not compact, nor compactly-generated.*

Indeed, for every  $x, y \in L$ ,  $x/K = \{0\} = y/0$  would imply  $x \leq y$  and  $y \leq x$ . Such examples abound:

- 1)  $([0, 1], \leq)$ , i.e., the interval of real numbers with the usual order.
- 2) Jeffrey Leon's example ([1], p. 16): invented in order to show that an upper continuous weakly atomic lattice is not always compactly generated.
- 3)  $L = \{0\} \cup \{2^r 3^s \mid r, s \in \mathbf{N}\}$  be ordered by divisibility ([2], **Ex. 7.2**, p. 169).

In order to legitimate the compactic class of lattices, we supply *an example of compactic lattice, which is not compactly generated.*



Indeed, the element  $z$  is not compact (the cover  $z \leq \bigvee_n b_n$  has no finite subcover), nor join of compact elements (hence the lattice is not compactly generated). However, every (nonzero) element has a compact lower bound ( $a, b_1, b_2, \dots$  are compact and  $z$  and  $1$  have compact lower bounds), and so the lattice is compact.

More, this lattice:

it is not upper continuous -  $z = z \wedge 1 = z \wedge (\bigvee b_n) \neq \bigvee (z \wedge b_n) = \bigvee a = a$ ;

it is not compactly large -  $z/K = \{a\} \subseteq b_1/0$  but  $z \leq b_1$  fails;

it is atomic, and atom-compact, but not atom generated

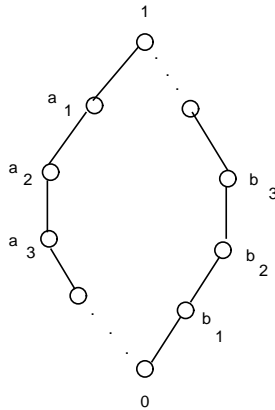
it is not Noetherian, but it is Artinian and so strongly atomic.

The example above also shows that *compact*  $\implies$  *upper continuous*, fails.

The converse also fails: an example of *upper continuous lattice which is not compact* is the Jeffrey Leon example mentioned above.

Finally, in order to show that all inclusions are proper, here are some *examples of atom-compact lattice which is not compact*

First of all, if  $0$  is the only compact element, the lattice is trivially atom-compact if it has no atoms! And  $([0, 1], \leq)$ , i.e., the interval of real numbers with the usual order, is such an example. Less trivial (but somehow similar) we have



None of  $a_n$  is compact and so has no nonzero compact lower bound. The only atom  $b_1$  is compact.

### 3 Theoretical example ?

For an arbitrary lattice  $L$ , both the lattice of all the *ideals*  $I(L)$  and the lattice of all *congruences*  $\text{Con}(L)$  are compactly-generated.

The lattice of all the *convex sublattices*  $CS(L)$  is also atomic and compactly generated.

*It would be interesting to find a theoretical example of lattice associated with an (arbitrary) lattice which is compact, but generally not compactly-generated.*

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**Final comment.** Since compact elements in an arbitrary sublattice cannot be related to the compact elements in the whole lattice, as compactly generated lattices do, this new class does not form a (quasi)variety. Actually, quotient of complete lattices may be non-complete, so since compact element definition needs completeness, there is *no hope for a variety*. *Nor quasivariety*, because of sublattices.

### References

- [1] Crawley P., Dilworth R. *Algebraic Theory of Lattices*. Prentice Hall, Englewood Cliffs, N. J., 1973
- [2] Davey B.A., Priestley H.A. *Introduction to Lattices and Order*. Cambridge University Press, Fourth Printing 2008.
- [3] Nation J.B. *Notes on Lattice Theory*. University of Hawaii.