

# COURSE 7

## Irreducible elements, prime elements

We know that for an integer  $p \in \mathbb{Z}^*$ ,  $p \neq \pm 1$ , for  $p$  to be prime, it must fulfill one of the following two equivalent conditions:

- i)  $p$  has no other divisors but  $\pm 1$  and  $\pm p$ .
- ii)  $a, b \in \mathbb{Z}$ ;  $p \mid ab \Rightarrow p \mid a$  or  $p \mid b$ .

We will see that for an arbitrary integral domain  $(R, +, \cdot)$ , the conditions i) and ii) can define different mathematical objects.

In the following part, we consider an integral domain  $(R, +, \cdot)$ .

**Definition 1.** An element  $p \in R^*$  is an **irreducible element** if it satisfies the following conditions:

- 1)  $p$  is not a unit.
- 2)  $p$  has no non-trivial divisors, i.e.

$$x \in R, x \mid p \Rightarrow x \text{ is a unit or } x \sim p.$$

**Remarks 2.** a) An element  $p \in R^*$  is irreducible if it fulfills the condition 1) from the above definition and any of the following equivalent conditions:

- 2')  $p = xy \Rightarrow x$  is a unit or  $y$  is a unit.
- 2'')  $p = xy \Rightarrow x \sim p$  or  $y \sim p$ .
- 2''')  $[p]$  is a minimal element in  $(R/\sim \setminus \{[1]\}, \leq)$ .

b) If  $p \in R$  is irreducible then any associate of  $p$  is also irreducible.

c) A necessary and sufficient condition for a non-zero non-unit of  $R$  to be not irreducible is to non-trivially factorize into two factors.

**Examples 3.** a) The irreducible elements  $p$  from  $(\mathbb{Z}, +, \cdot)$  are the primes and their opposites.

b) Let  $R$  be an integral domain. A non-zero polynomial  $f \in R[X]$  which is not a unit is irreducible if and only if it has no non-trivial factorizations. Thus, the polynomial  $f = 2X + 2 \in \mathbb{Z}[X]$  is not irreducible in  $\mathbb{Z}[X]$  since 2 and  $X + 1$  from its decomposition  $f = 2(X + 1)$  are both non-units. But the polynomial  $2X + 2$  from  $\mathbb{R}[X]$  is irreducible since any degree 1 polynomial with coefficients in a field is irreducible.

c) If  $K$  is a field and  $f \in K[X]$  has the degree 2 or 3, then  $f$  is irreducible if and only if  $f$  has no root in  $K$ . For the polynomials with the degree at least 4, the lack of roots in  $K$  means not necessarily that they are irreducible (e.g.  $(X^2 + 1)^2 \in \mathbb{R}[X]$  is not irreducible).

d) A polynomial  $f \in \mathbb{C}[X]$  is irreducible in  $\mathbb{C}[X]$  if and only if  $\deg f = 1$ .

e) A polynomial  $f \in \mathbb{R}[X]$  is irreducible in  $\mathbb{R}[X]$  if and only if  $\deg f = 1$  or  $f = aX^2 + bX + c$  with  $a, b, c \in \mathbb{R}$  and  $a \neq 0$ , and  $\Delta = b^2 - 4ac < 0$ .

**Remarks 4.** a) If  $d \in \mathbb{Z} \setminus \{1\}$  is a square-free integer and  $\delta : \mathbb{Z}[\sqrt{d}] \rightarrow \mathbb{N}$ ,  $\delta(z) = |z \cdot \bar{z}|$  is the norm map, then, for any  $z_1, z_2, z \in \mathbb{Z}[\sqrt{d}]$ :

- i)  $z_1 \mid z_2 \Rightarrow \delta(z_1) \mid \delta(z_2)$ ;
- ii)  $z_1 \sim z_2 \Leftrightarrow \delta(z_1) = \delta(z_2)$  and  $z_1 \mid z_2$ ;
- iii)  $\delta(z_1) = \delta(z_2)$  does not imply, in general,  $z_1 \sim z_2$ ;
- iv) if  $\delta(z)$  is a prime then  $z$  is an irreducible element of  $\mathbb{Z}[\sqrt{d}]$ .

b) In the integral domain  $(\mathbb{Z}[i], +, \cdot)$  the elements  $1+i$  and  $1+2i$  are irreducible elements (because of iv) above), 3 and 7 are irreducible elements (even if their norm is not a prime), and 2, 5 and 17 are not irreducible elements.

**Definition 5.** An element  $p \in R^*$  is a **prime element** if it satisfies the following conditions:

- $\alpha)$   $p$  is not a unit.
- $\beta)$   $x, y \in R; p \mid xy \Rightarrow p \mid x$  or  $p \mid y$ .

**Remarks 6.** a) If  $p \in R$  is a prime element, then any associate of  $p$  in  $R$  is also a prime element.  
 b) If  $p \in R$  is a prime element and  $p$  divides the product  $x_1 \dots x_n$  of elements of  $R$  then  $p$  divides at least one of the factors  $x_1, \dots, x_n$ .

**Examples 7.** i) The prime elements of  $(\mathbb{Z}, +, \cdot)$  are the (natural) primes and their opposites.  
 ii) In the integral domain  $(\mathbb{Z}[i\sqrt{5}], +, \cdot)$ ,  $i\sqrt{5}$  is a prime element, 3 is an irreducible element which is not a prime element.

**Theorem 8.** For an integral domain  $R$  we have:

- 1) Any prime element from  $R$  is an irreducible element.
- 2) If any two elements of  $R$  have a gcd, then any irreducible element of  $R$  is a prime element.

We proved in the previous course that in a PID  $R$ , there exists a gcd for any  $a, b \in R$  and

$$d = (a, b) \Leftrightarrow dR = aR + bR.$$

Thus, from the previous theorem one deduces the following:

**Corollary 9.** In a PID, an element is irreducible if and only if it is prime.

Since  $\mathbb{Z}$  is a PID, the previous corollary gives, once again, a reason why the integers which are prime numbers are the same as the integers which are irreducible numbers. But, as example 7 ii) shows, the converse of the statement 1) from the previous theorem is not always valid.

**Remarks 10.** a) From the statement 2) of the previous theorem and the fact that 3 is irreducible in  $\mathbb{Z}[i\sqrt{5}]$ , but not prime, we expect to find elements in  $\mathbb{Z}[i\sqrt{5}]^*$  which have no gcd. For instance, 6 and  $2(1+i\sqrt{5})$  have no gcd in  $\mathbb{Z}[i\sqrt{5}]$ . Yet, 3 and  $1+i\sqrt{5}$  are coprime in  $\mathbb{Z}[i\sqrt{5}]$ , so they have a gcd (and it is 1).

b) From remark a) one deduces that  $\mathbb{Z}[i\sqrt{5}]$  is not a PID. One reason why we did not involve the notion of prime element in the examples we gave in  $\mathbb{C}[X]$ ,  $\mathbb{R}[X]$ ,  $\mathbb{Z}[i]$  is that each one of these integral domains is a PID (and even more, as we will further see) so, in these integral domains the notions of prime element and irreducible element coincide.