

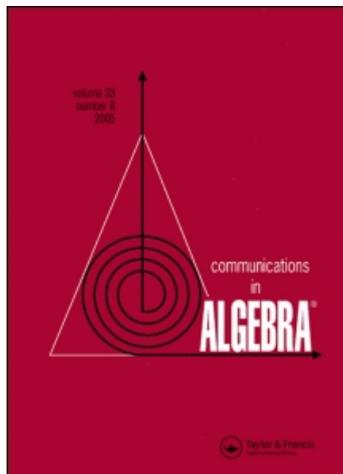
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### Rings with Lattices of Idempotents

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## RINGS WITH LATTICES OF IDEMPOTENTS

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*The central idempotents of any ring with identity form a Boolean algebra. This result is largely extended for rings with generalized commuting idempotents.*

**Key Words:** Boolean algebra; Generalized commuting idempotents; Principal ideals generated by idempotents.

**2000 Mathematics Subject Classification:** 16U80; 06E20; 06B99.

### 1. INTRODUCTION

In associating lattices to rings with identity, attention was mainly paid to right (or left) principal ideals, that is, the set  $\{aR : a \in R\}$  naturally ordered by inclusion. A celebrated class of rings, the *regular rings*, was defined by John von Neumann. For such rings, every right principal ideal is (also) generated by an idempotent, and  $\{aR : a \in R\} = \{eR : e \in \text{Id}(R)\}$  forms a complemented distributive lattice (i.e., a Boolean algebra and/or a Boolean ring) with respect to addition and intersection of such ideals. Therefore, this is a sublattice of the (complete) lattice  $I_r(R)$  of all the right ideals of  $R$ .

Since right annihilators in any ring always form a (complete) lattice, which is not generally a sublattice of  $I_r(R)$ , conditions were imposed in order to connect this lattice to the principal ideals: a ring is *right Rickart* if every right annihilator of any element is (a principal right ideal) generated by an idempotent, and *right Baer*, if all the right annihilators are principal right ideals generated by idempotents.

Even more special conditions can be found in the literature: a ring is an *ISS-ring* (see [3]) if for any idempotents  $e, f \in R$  there exists an idempotent  $g \in R$  with  $eR + fR = gR$ . It is proven that  $R$  has ISS and the ACC on idempotent generated right ideals if and only if the idempotent generated right ideals form a ACC lattice under addition and intersection. Or, a ring  $R$  has *ACI* (see [1]) if the set of all the right annihilators forms a sublattice of  $I_r(R)$ , and has *AC3* if this is a complete sublattice of  $I_r(R)$ . Of course, left versions of all these are available.

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However, when it comes to  $(\text{Id}(R), \leq)$  (the poset of all the idempotents), there seems to be no progress from another celebrated result:  $B(R) = Z(R) \cap \text{Id}(R)$ , that is, the set of all the central idempotents, forms a Boolean algebra (and so a Boolean ring and/or a distributive complemented lattice) with respect to  $\inf\{e, f\} = ef$  and  $\sup\{e, f\} = e + f - ef$  (together with ring multiplication and the special addition  $e \boxplus f = e + f - 2ef = (e - f)^2$ ).

The aim of this note is to extend this result.

In the sequel,  $\text{Id}(R)$  denotes the set of all the idempotent elements in a ring with identity  $R$ , and, for  $a, b \in R$  we use the notation  $a \leq b$  if  $a = ab = ba$ . It is readily checked that this (binary) relation is *transitive* and *antisymmetric* on  $R$ . However, it is *reflexive* (and so, a partial order) only when restricted to the set  $\text{Id}(R)$ . Two idempotents  $e, f$  are *orthogonal* if  $ef = fe = 0$ , and *isomorphic* if  $e = ab$  and  $f = ba$  for suitable elements  $a, b \in R$ . Zero and 1 are the *trivial* (orthogonal) idempotents of any ring. For an idempotent  $e$ ,  $e' = 1 - e$  is the *complementary* idempotent. For two idempotents  $e, f$ , we denote by  $\langle e, f \rangle_s$  the subsemigroup of the multiplicative monoid of the ring, generated by  $e$  and  $f$ .

The main results we obtain are the following ones.

**Theorem.** *In the poset  $(\text{Id}(R), \leq)$ , two idempotents  $e, f$  have a greatest lower bound and a least upper bound with  $\inf\{e, f\} \in \langle e, f \rangle_s$  if and only if  $e$  and  $f$  are generalized commuting idempotents.*

**Proposition.** *The poset  $(\text{Id}(R), \leq)$  has only generalized commuting idempotents if and only if all idempotents are central (i.e.,  $\text{Id}(R) = B(R)$ ).*

## 2. GENERALIZED COMMUTING IDEMPOTENTS

For the first part of this section, suppose  $R$  is a (multiplicatively written) semigroup. Two idempotents  $e, f$  are called *generalized commuting idempotents* if there exists a positive integer  $n$  such that  $(ef)^n = (fe)^n$  or,  $(ef)^n e = (fe)^n f$ . It is easy to prove that there is a strict hierarchy for these conditions, namely,

$$ef = fe \implies efe = fef \implies \dots \implies (ef)^n = (fe)^n \stackrel{(*)}{\implies} (ef)^n e = (fe)^n f \implies \dots$$

For instance, (\*) is proved as follows: by left multiplication with  $e$ , we first obtain  $(ef)^n = e(fe)^n$ ; then by left multiplication with  $f$ , we obtain  $f(ef)^n = (fe)^n$ . Hence also  $(ef)^n e = e(fe)^n = f(ef)^n = (fe)^n f$ .

These implications cannot be reversed. As an example, for the first implication: in the  $2 \times 2$  matrix ring over  $\mathbf{Z}$ , take  $e = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix}$  and  $f = \begin{bmatrix} 1 & 0 \\ -1 & 0 \end{bmatrix}$ . Then  $efe = fef = 0_2$ , the zero matrix (and so  $e, f$  are generalized commuting idempotents), but  $ef = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \neq \begin{bmatrix} 1 & 1 \\ -1 & -1 \end{bmatrix} = fe$ .

If  $f' = I_2 - f = \begin{bmatrix} 0 & 0 \\ 1 & 1 \end{bmatrix}$  is the complementary idempotent, then  $e = ef' = ef'e = (ef')^2 = \dots$  and  $f' = f'e = f'ef' = (f'e)^2 = \dots$ . Hence  $e$  and  $f'$  are not generalized commuting idempotents.

**Remarks.** 1) More can be proved: if for instance  $(ef)^n = (fe)^n$ , then not only (as we already saw)  $(ef)^n e = (fe)^n f$ , but  $(ef)^n = (ef)^n e = (fe)^n f = (fe)^n$ , and all elements “to the right” are equal.

2) Therefore, using the above sequence, a *commuting index* can be introduced for any two idempotents: the least positive integer  $n$ , if any, such that  $(ef)^n = (fe)^n$  or,  $(ef)^n e = (fe)^n f$ .

3) Clearly, all elements above are contained in the subsemigroup of  $R$  generated by  $e$  and  $f$ , denoted  $\langle e, f \rangle_s$ . Actually, since we only consider idempotents, elements in  $\langle e, f \rangle_s$  are of four possible forms:  $(ef)^n$ ,  $(ef)^n e$ ,  $(fe)^n$ , and  $(fe)^n f$ , for some positive integer  $n$ .

**Proposition 1.** *Two idempotents  $e, f$  are generalized commuting if and only if  $\langle ef \rangle_s \cap \langle fe \rangle_s \neq \emptyset$ .*

*Proof.* The condition is clearly necessary. To check that it is also sufficient, suppose  $(ef)^n = (fe)^m$  for positive integers  $n$  and  $m$ . If  $k = \max(n, m)$ , we show that  $(ef)^k = (fe)^k$ , and so these are generalized commuting idempotents. Indeed, if  $(ef)^n = (fe)^m$ , then checking  $(ef)^n = (ef)^{n+1} = (ef)^{n+2} = \dots$  and  $(fe)^m = (fe)^{m+1} = (fe)^{m+2} = \dots$  will do. Here is a sample:  $(ef)^{n+1} = (ef)^n ef = (fe)^m ef = (fe)^m f = (ef)^n f = (ef)^n$ .

Once again, more can be shown about the intermediate products:  $(ef)^n e = (fe)^m e = (fe)^m = (ef)^n$ .  $\square$

Further, idempotents in a ring  $R$  (with identity) are called *generalized commuting* whenever they are generalized commuting in the multiplicative monoid of the ring.

We first prove some technical equalities gathered in the following lemma.

**Lemma 2.** *For any two idempotents  $e, f$  in a ring  $R$ , the following hold:*

- If  $s = e + f - ef - fe + efe + fef - \dots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n$  then  $es = s$  and  $sf = f$ ; but
- $se = e + (fe)^n - (ef)^n e$  and  $fs = f + (fe)^n - f(ef)^n$ ;
- (de Morgan)  $s = 1 - [(1 - e)(1 - f)]^n$ , and similarly;
- $e + f - ef - fe + efe + fef - \dots - (ef)^n - (fe)^n + (ef)^n e = 1 - [(1 - e)(1 - f)]^n (1 - e)$ .

*Proof.* We will just mention the induction step ( $n \rightarrow n + 1$ ) in the proof of an equality which is equivalent to (c), that is,

$$a = [(1 - e)(1 - f)]^n = 1 - e - f + ef + fe - \dots + (ef)^n$$

(clearly true for  $n = 1$  or  $2$ ).

$$\begin{aligned} [(1 - e)(1 - f)]^{n+1} &= (1 - e)(1 - f)[(1 - e)(1 - f)]^n \\ &= a - (1 - e)fa = a - (1 - e)f(1 - s) \end{aligned}$$

$$\begin{aligned} &\stackrel{(b)}{=} a - (1 - e)(- (fe)^n + f(ef)^n) \\ &= a + (fe)^n - f(ef)^n - e(fe)^n + (ef)^{n+1}, \end{aligned}$$

as desired.  $\square$

**Theorem 3.** *In  $(\text{Id}(R), \leq)$ , generalized commuting pairs of idempotents have a greatest lower bound and a least upper bound.*

*Proof.* *Case 1.* We show that if  $(ef)^n = (fe)^n$ , then for the idempotents  $e, f$ , the greatest lower bound exists and

$$\inf\{e, f\} = (ef)^n = (fe)^n,$$

respectively, the least upper bound exists and

$$\sup\{e, f\} = e + f - ef - fe + efe + fef - \cdots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n.$$

First note that  $(ef)^n \leq e$  holds since  $e(ef)^n = (ef)^n$  is true for any idempotents and  $(ef)^n e = e(fe)^n = e(ef)^n = (ef)^n$ , using the hypothesis. Similarly,  $(fe)^n \leq f$  and so,  $(ef)^n = (fe)^n$  is a lower bound for  $e, f$ . If  $a \in \text{Id}(R)$  and  $a \leq e$  and  $a \leq f$ , any product of  $a$  with any product of  $e$  and  $f$  equals  $a$ . Hence also  $a = a(ef)^n = (ef)^n a$  and so  $a \leq (ef)^n$ , which solves the inf part.

Notice that  $(ef)^n = (fe)^n$  is an idempotent: indeed,

$$(ef)^n (ef)^n = e(fe)^n f(ef)^{n-1} = e(ef)^n f(ef)^{n-1} = (ef)^n (ef)^{n-1} = \cdots = (ef)^n.$$

As for the sup, one first verifies  $e \leq e + f - ef - fe + \cdots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n$  (half is (a) in the previous lemma, the other half needs—see (b)—the hypothesis  $(ef)^n = (fe)^n$ , respectively,  $f \leq e + f - ef - fe + \cdots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n$  (similar). Therefore, (by hypothesis) the common element is an upper bound for  $e, f$ . Finally, if  $a \in \text{Id}(R)$  and  $e \leq a$  and  $f \leq a$ , multiplication of any sum of products of  $e, f$  by  $a$  does not change this sum of products. Hence  $e + f - ef - fe + \cdots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n \leq a$ . Thus

$$\sup\{e, f\} = e + f - ef - fe + \cdots + e(fe)^{n-1} + f(ef)^{n-1} - (ef)^n.$$

Denote by  $s = \sup\{e, f\}$ . Since  $e, f \leq s$  taking  $a = s$  above, multiplication of any sum of products of  $e, f$  by  $s$  does not change this sum of products. Therefore,  $s^2 = s$  and so  $s$  is an idempotent.

*Case 2.* We show that if  $(ef)^n e = (fe)^n f$ , then for the idempotents  $e, f$ , the greatest lower bound exists and

$$\inf\{e, f\} = (ef)^n e = (fe)^n f,$$

respectively, the least upper bound exists and

$$\sup\{e, f\} = e + f - ef - fe + efe + fef - \cdots - (ef)^n - (fe)^n + (ef)^n e.$$

The verifications are analogous. However, now the hypothesis is not necessary in order to check  $e(fe)^n \leq e$ , or  $(fe)^n f \leq f$ , respectively,  $e \leq e + f - ef - fe + efe + fef - \dots - (ef)^n - (fe)^n + (ef)^n e$ , or  $f \leq e + f - ef - fe + efe + fef - \dots - (ef)^n - (fe)^n + (fe)^n f$ .  $\square$

A converse of the previous theorem also holds.

Indeed, in a similar vein, some arguments in its proof may be refined and give the following proposition (we skip the details).

**Proposition 4.** *Let  $e, f \in \text{Id}(R)$ . (a) There exists  $\inf\{e, f\} = (ef)^n$  if and only if  $(ef)^n = (ef)^n e = (fe)^n f$ . (b) There exists  $\sup\{e, f\} = e + f - \dots - (ef)^n$  if and only if  $(fe)^n = (ef)^n e = (fe)^n f$ . A similar statement holds for  $\inf\{e, f\} = (ef)^n e$  and  $\sup\{e, f\} = e + f - \dots - (ef)^n - (fe)^n + (ef)^n e$ .*

**Corollary 5.** *Let  $e, f \in \text{Id}(R)$ . Then  $\inf\{e, f\}$  exists and equals  $(ef)^n$ , and  $\sup\{e, f\}$  exists and equals  $e + f - \dots - (ef)^n$  if and only if  $(ef)^n = (fe)^n$ . A similar statement holds for  $\inf\{e, f\} = (ef)^n e$  and  $\sup\{e, f\} = e + f - \dots - (ef)^n - (fe)^n + (ef)^n e$ .*

**Proposition 6.** *In the poset  $(\text{Id}(R), \leq)$ , for two idempotents  $e, f$ ,  $\inf\{e, f\} = (ef)^n$  (or  $(ef)^n e$ ) and  $\sup\{e, f\} = e + f - \dots - (ef)^n$  (respectively,  $e + f - \dots - (ef)^n - (fe)^n + (ef)^n e$ ), with suitable positive integers  $n$  depending on the idempotents  $e, f$  if and only if  $e$  and  $f$  are generalized commuting idempotents.*

We can finally give the following characterization.

**Theorem 7.** *In the poset  $(\text{Id}(R), \leq)$ , two idempotents  $e, f$  have a greatest lower bound and a least upper bound with  $\inf\{e, f\} \in \langle e, f \rangle_s$  if and only if the idempotents  $e$  and  $f$  are generalized commuting.*

*Proof.* By the previous proposition, the condition is sufficient. To prove it is also necessary, suppose (we have listed above the only four possibilities), say,  $i = \inf\{e, f\} = (ef)^n$ . By the inf definition (and partial order  $\leq$ ),  $if = fi = ie = ei = i$ . Therefore,  $(ef)^n e = ie = i = fi = f(ef)^n$ , and we have generalized commutativity. The other three cases are analogous.  $\square$

**Corollary 8.** *If idempotents in a ring  $R$  commute, then  $(\text{Id}(R), \leq)$  forms a lattice with respect to  $\inf\{e, f\} = ef$  and  $\sup\{e, f\} = e + f - ef$ . More, this is a Boolean algebra.*

**Corollary 9.** *Let  $e$  and  $f$  be orthogonal idempotents in an arbitrary ring. Then there is  $\inf\{e, f\} = 0$ , and there is  $\sup\{e, f\} = e + f$ .*

Actually, a direct proof of this last corollary is an easy exercise.

**Corollary 10.**  *$B(R) = Z(R) \cap \text{Id}(R)$  forms a Boolean algebra.*

It should be also noticed that generalized commutativity of idempotents is not sufficient for

$$\inf\{e, f'\} = 0 \iff \inf\{e, f\} = e,$$

equivalence which holds in Boolean algebras.

Passage to the complementary idempotent does not even preserve the “commuting index” (i.e.,  $(ef)^n = (fe)^n$  does not imply  $(ef')^n = (f'e)^n$ ).

To check these two last claims, the example already given beginning of this section can be used.

### 3. RINGS WITH ONLY GENERALIZED IDEMPOTENTS ARE ABELIAN

It is well-known (and somehow surprising) that if (and only if) idempotents in a ring  $R$  commute, then the ring is Abelian (i.e., all idempotents are central). As we will see below, even less is sufficient in order to have  $B(R) = \text{Id}(R)$ .

Now, since generally

$$e, f \in Z(R) \implies e, f \text{ commute} \implies e, f \text{ generalized commute,}$$

but examples (e.g., our example in the previous section) show that none of these implications can be reversed, one would expect a ring having *only* generalized idempotents to have a lattice of idempotents which should be a genuine generalization of the Boolean algebra  $B(R)$ .

In the next result we show that (unfortunately) it is not.

**Proposition 11.** *For a ring  $R$  the following conditions are equivalent:*

- (a)  $R$  is Abelian (i.e., all idempotents are central, or equivalently,  $B(R) = \text{Id}(R)$ );
- (b) in  $R$  idempotent and nilpotent elements commute;
- (c) in  $R$  idempotents commute;
- (d) every idempotent  $e$  commutes with all the idempotents which are isomorphic to  $e$ ;
- (e)  $R$  has only generalized commuting idempotents;
- (f) every idempotent  $e$  generalized commutes with all the idempotents which are isomorphic to  $e$ .

*Proof.* Since most of these results are known (see Exercises 12.7 and 22.3A [2]), we prove only the generalized commuting statement (f)  $\implies$  (a).

Suppose the idempotent  $e$  is not central. Then there is  $r \in R$  such that  $er \neq re$ , or equivalently,  $er\bar{e} \neq 0$  or  $\bar{e}re \neq 0$ . In the first case, consider the idempotent  $f = e + er\bar{e}$ , which is different from  $e$ . It can be checked that  $ef = f$  and  $fe = e$  (see also Exercise 21.4 [2]), so these are isomorphic idempotents. Therefore,  $e = fe = efe = (fe)^2 = \dots$  and  $f = ef = fef = (ef)^2 = \dots$ , and  $e$  is not generalized commuting with  $f$ .

In the second case, if  $\bar{e}re \neq 0$ , one deals similarly with the idempotent  $g = e + \bar{e}re$ .  $\square$

**Remark.** For the proof of (c)  $\implies$  (a), the nilpotent elements  $er\bar{e}$  respectively  $\bar{e}re$  (indeed,  $(er\bar{e})^2 = (\bar{e}re)^2 = 0$ ) are used. Even extending the definition of generalized commutativity to arbitrary elements in a ring (including the nilpotent ones),  $n = er\bar{e}$  (or  $\bar{e}re$ ) is no more suitable for a “generalized” statement like (c): indeed,  $0 = ne = ene = nen = \dots$ , so now  $e$  and  $n$  are generalized commuting.

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